

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 2 of 27

This listing of claims will replace all prior versions and listings of claims in the Application.

LISTING OF CLAIMS:

1-2. *(cancelled)*

3. *(Previously Presented)* The method of claim 27, wherein the estimates include at least quarterly or fiscal earnings estimates.

4. *(Previously Presented)* The method of claim 27, wherein the estimates include at least revenue projections.

5. *(Previously Presented)* The method of claim 27, wherein the estimates include at least a security's target price.

6. *(Previously Presented)* The method of claim 27, wherein the estimates include at least cash flow projections.

7. *(Previously Presented)* The method of claim 27, wherein the estimates include at least financial predictions.

8. *(Previously Presented)* The method of claim 27, wherein the indication of historical accuracy for an analyst is for one or more securities, and the analyst's estimate for a future event is for one or more securities.

9. *(Previously Presented)* The method of claim 8, wherein the one or more securities include a set of securities in an industry.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 3 of 27

10. **(Previously Presented)** The method of claim 8, wherein the one or more securities include a set of securities in a sector.
11. **(Previously Presented)** The method of claim 8, wherein the one or more securities include a set of securities followed by an analyst.
12. **(Previously Presented)** The method of claim 8, wherein the one or more securities include a set of securities followed by a brokerage firm with which an analyst is associated.
13. **(Previously Presented)** The method of claim 8, wherein the one or more securities include one or more user-selected sets of securities.
14. **(Previously Presented)** The method of claim 13, further comprising saving one or more user-selected sets of securities and reusing one or more user-selected sets of securities.
15. **(Previously Presented)** The method of claim 27, wherein the indication of historical accuracy comprises a performance rating.
16. **(Previously Presented)** The method of claim 27, wherein the indication of historical accuracy comprises a performance rating based on one or more performance metrics.
17. **(Previously Presented)** The method of claim 27, wherein the indication of historical accuracy comprises a performance rating based on one or more performance metrics, and the rating comprises a scale of integers from 1-N.
18. **(Previously Presented)** The method of claim 17, wherein N=5.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 4 of 27

19. (*Previously Presented*) The method of claim 27, wherein the indication of historical accuracy comprises a relative accuracy score, comparing an analyst's accuracy relative to the accuracy of other analysts.

20. (*Previously Presented*) The method of claim 27, wherein the indication of historical accuracy comprises an accuracy measurement based on a performance metric aggregated over a user-selected number of quarters or fiscal periods.

21-23. (*cancelled*)

24. (*Currently Amended*) A computer-implemented method for displaying information relating to one or more analysts' estimates for ~~a selected one or more future event events~~, comprising:

retrieving, from a database, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

displaying simultaneously, for a user-selected security, on an analyst by analyst basis, only for ~~top~~ analysts ~~from among the one or more analysts~~ that have a current estimate for the user-selected security, ~~and whose historical accuracy satisfies a predetermined threshold~~:

- i) an indication of historical accuracy for an analyst; and
- ii) the analyst's estimate for a future event for the user-selected security.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 5 of 27

25. **(Currently Amended)** A computer-implemented method for displaying information relating to one or more analysts' estimates for a-selected one or more future event events, comprising:

retrieving, from a database, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

displaying simultaneously, for a user-selected security, on an analyst by analyst basis, only for analysts from among the one or more analysts that have a predetermined track-record of estimates whose historical accuracy for the user-selected security satisfies a predetermined threshold:

- i) an indication of historical accuracy for an analyst; and
- ii) the analyst's estimate for a future event for the user-selected security.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 6 of 27

26. **(Currently Amended)** A computer-implemented method for displaying information relating to one or more analysts' estimates for ~~a selected~~ one or more future event events, comprising:

retrieving, from a database, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

displaying simultaneously, for a user-selected security, on an analyst by analyst basis, for selected analysts selected by a user from among the one or more analysts:

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event for the user-selected security; and
- iii) other information relating to the user-selected security.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 7 of 27

27. **(Currently Amended)** A computer-implemented method for displaying information relating to one or more analysts' estimates for a selected one or more future event events, comprising:

retrieving, from a database, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among the one or more analysts:

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event; and
- iii) a graphical indication of whether the analyst's estimate is above or below a consensus estimate for the future event.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 8 of 27

28. **(Currently Amended)** A computer-implemented method for displaying information relating to one or more analysts' estimates for a selected one or more future event events, comprising:

retrieving, from a database, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user form among the one or more analysts:

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event; and
- iii) a graphical indication of whether the analyst's estimate is above or below a consensus estimate for the future event and the difference between the analyst's estimate and the consensus estimate.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 9 of 27

29. **(Currently Amended)** A computer-implemented method for displaying information relating to one or more analysts' estimates for ~~a selected one or more future event events~~, comprising:

retrieving, from a database, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among the one or more analysts:

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event; and
- iii) a graphical indication of whether the analyst's estimate exceeds a consensus estimate for the future event by a predetermined amount or is below ~~a~~ the consensus estimate by a predetermined amount.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 10 of 27

30. **(Currently Amended)** A computer-implemented method for displaying information relating to one or more analysts' estimates for a selected one or more future event events, comprising:

retrieving, from a database, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among a group of the one or more analysts whose historical accuracy satisfies a predetermined threshold:

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event; and
- iii) a graphical indication of whether the analyst's estimate exceeds a consensus estimate for the future event by a predetermined amount or is below a the consensus estimate by a predetermined amount[;]]
and

wherein the selected analysts are selected from a group of top analysts based on historical performance.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 11 of 27

31. (*Currently Amended*) A computer-implemented method for displaying information relating to one or more analysts' estimates for a selected one or more future event events, comprising:

retrieving, from a database, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among a group of the one or more analysts whose historical accuracy satisfies a predetermined threshold:

- i) an indication of historical accuracy for an analyst;
- ii) the analyst's estimate for a future event; and
- iii) a graphical indication of whether the analyst's estimate exceeds a consensus estimate for the future event by a predetermined amount or is below the consensus estimate by a predetermined amount and the difference between the analyst's estimate and the consensus estimate[[:]]; and

wherein the selected analysts are selected from a group of top analysts based on historical performance.

32. (*Currently Amended*) The method of claim 31, wherein an analyst is included in the group of top the one or more analysts comprises one or more top analysts based on historical performance if the analyst's historical accuracy for a selected security satisfies a predetermined threshold.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 12 of 27

33. **(Currently Amended)** The method of claim 31, wherein an analyst is included in the group of top the one or more analysts comprises one or more top analysts based-on-historical performance if the analyst's historical accuracy for a selected sector satisfies a predetermined threshold.

34. **(Currently Amended)** The method of claim 31, wherein an analyst is included in the group of top the one or more analysts comprises one or more top analysts based-on-historical performance if the analyst's historical accuracy for a selected industry satisfies a predetermined threshold.

35. **(Currently Amended)** The method of claim 31, wherein an analyst is included in the group of top the one or more analysts comprises one or more top analysts based-on-an-analyst's historical performance if the analyst's historical accuracy for all securities for which the analyst has issued estimates satisfies a predetermined threshold.

36. **(Currently Amended)** The method of claim 31, wherein an analyst is included in the group of top the one or more analysts comprises one or more top analysts based-on-an-analyst's historical performance if the analyst's historical accuracy for a user-selected group of securities satisfies a predetermined threshold.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 13 of 27

37. (*Currently Amended*) A computer-implemented method for displaying information relating to one or more analysts' estimates ~~a selected one or more future event events~~, comprising:

retrieving, from a database, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among the one or more analysts:

- i) an indication of historical accuracy for an analyst for one or more securities;
- ii) the analyst's estimate for a future event for the one or more securities; and

wherein the order in which the selected analysts appear is based on a rating of the analysts.

38-39. (*cancelled*)

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 14 of 27

40. (**Currently Amended**) A computer-implemented method of displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

retrieving, from a database, information relating to one or more analysts' historical recommendations for an action with respect to one or more securities, and information relating to the one or more analysts' current recommendations for an action with respect to one or more securities;

determining an indication of historical performance for the one or more analysts based, in part, on the information relating to the one or more analysts' historical recommendations for an action with respect to one or more securities; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among the one or more analysts:

- i) an indication of historical performance for an analyst;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- iii) a graphical indication of whether a numerical value associated with the analyst's current recommendation is above or below a numerical value associated with a consensus recommendation for the at least one security.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 15 of 27

41. **(Currently Amended)** A computer-implemented method of displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

retrieving, from a database, information relating to one or more analysts' historical recommendations for an action with respect to one or more securities, and information relating to the one or more analysts' current recommendations for an action with respect to one or more securities;

determining an indication of historical performance for the one or more analysts based, in part, on the information relating to the one or more analysts' historical recommendations for an action with respect to one or more securities; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among the one or more analysts:

- i) an indication of historical performance for an analyst;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- iii) a graphical indication of whether a numerical value associated with the analyst's current recommendation is above or below a numerical value associated with a consensus recommendation for the at least one security, and the difference between the numerical value associated with the analyst's current recommendation and the numerical value associated with the consensus recommendation.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 16 of 27

42. **(Currently Amended)** A computer-implemented method of displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

retrieving, from a database, information relating to one or more analysts' historical recommendations for an action with respect to one or more securities, and information relating to the one or more analysts' current recommendations for an action with respect to one or more securities;

determining an indication of historical performance for the one or more analysts based, in part, on the information relating to the one or more analysts' historical recommendations for an action with respect to one or more securities; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among the one or more analysts:

- i) an indication of historical performance for an analyst;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- iii) a graphical indication of whether a numerical value associated with the analyst's current recommendation exceeds a numerical value associated with a consensus recommendation for the at least one security by a predetermined amount, or is below the numerical value associated with the consensus recommendation by a predetermined amount.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 17 of 27

43. **(Currently Amended)** A computer-implemented method of displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

retrieving, from a database, information relating to one or more analysts' historical recommendations for an action with respect to one or more securities, and information relating to the one or more analysts' current recommendations for an action with respect to one or more securities;

determining an indication of historical performance for the one or more analysts based, in part, on the information relating to the one or more analysts' historical recommendations for an action with respect to one or more securities; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among a group of the one or more analysts whose historical performance satisfies a predetermined threshold:

- i) an indication of historical performance for an analyst based on selected criteria;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- iii) a graphical indication of whether a numerical value associated with the analyst's current recommendation exceeds a numerical value associated with a consensus recommendation for the at least one security by a predetermined amount, or is below the numerical value associated with the consensus recommendation by a predetermined amount, wherein the selected analysts are selected from a group of top analysts based on historical performance.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 18 of 27

44. **(Currently Amended)** A computer-implemented method of displaying information relating to one or more analysts' recommendations for an action with respect to at least one security, comprising:

retrieving, from a database, information relating to one or more analysts' historical recommendations for an action with respect to one or more securities, and information relating to the one or more analysts' current recommendations for an action with respect to one or more securities;

determining an indication of historical performance for the one or more analysts based, in part, on the information relating to the one or more analysts' historical recommendations for an action with respect to one or more securities; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among a group of the one or more analysts whose historical performance satisfies a predetermined threshold:

- i) an indication of historical performance for an analyst;
- ii) a current recommendation of the analyst for an action with respect to at least one security; and
- iii) a graphical indication of whether a numerical value associated with the analyst's current recommendation exceeds a numerical value associated with a consensus recommendation for the at least one security by a predetermined amount or is below the numerical value associated with the consensus recommendation by a predetermined amount and the difference between the numerical value associated with the analyst's recommendation and the numerical value associated with the consensus, wherein the selected analysts are selected from a group of top analysts based on historical performance.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 19 of 27

45. (*Currently Amended*) The method of claim 44, wherein an analyst is included in the group of top the one or more analysts comprises one or more top analysts based on historical performance if the analyst's historical performance for the at least one security satisfies a predetermined threshold.

46. (*Currently Amended*) The method of claim 44, wherein an analyst is included in the group of top the one or more analysts comprises one or more top analysts based on historical performance if the analyst's historical performance for a selected sector satisfies a predetermined threshold.

47. (*Currently Amended*) The method of claim 44, wherein an analyst is included in the group of top the one or more analysts comprises one or more top analysts based on historical performance if the analyst's historical performance for a selected industry satisfies a predetermined threshold.

48. (*Currently Amended*) The method of claim 44, wherein an analyst is included in the group of top the one or more analysts comprises one or more top analysts based on an analyst's historical performance if the analyst's historical performance for all securities for which the analyst has issued recommendations satisfies a predetermined threshold.

49. (*Currently Amended*) The method of claim 44, wherein an analyst is included in the group of top the one or more analysts comprises one or more top analysts based on an analyst's historical performance if the analyst's historical performance for a user-selected group of securities satisfies a predetermined threshold.

50-51. (*cancelled*)

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 20 of 27

52. **(Currently Amended)** A computer-implemented method for displaying information relating to security analysts' estimates, the method comprising:

retrieving, from a database, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among the one or more analysts:

- i) an indication of historical accuracy for an analyst for one or more securities;
- ii) the analyst's estimate for a future event for the one or more securities; and
- iii) model information relating to the use of the analyst's estimate in an aggregation prediction model; and

wherein the model information comprises one or more exclusion factors, and wherein displaying further comprises displaying an indication of whether the analyst's estimate is excluded from use in the aggregation prediction model.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 21 of 27

53. **(Currently Amended)** A computer-implemented method for displaying information relating to security analysts' estimates, the method comprising:

retrieving, from a database, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among the one or more analysts:

- i) an indication of historical accuracy for an analyst for one or more securities;
- ii) the analyst's estimate for a future event for the one or more securities; and
- iii) model information relating to the use of the analyst's estimate in an aggregation prediction model; and

wherein the model information comprises one or more exclusion factors, and wherein displaying further comprises displaying an indication of whether the analyst's estimate is excluded from use in the aggregation prediction model and an indication of the reason for exclusion if the analyst's estimate is excluded from use in the aggregation prediction model.

Applicant: GATTO
Serial No: 09/775,599
Filing Date: February 5, 2001
Page: 22 of 27

54. (**Currently Amended**) A computer-implemented method for displaying information relating to security analysts' estimates, the method comprising:

retrieving, from a database, information relating to one or more analysts' historical estimates for one or more historical events, and information relating to the one or more analysts' estimates for one or more future events;

determining an indication of historical accuracy for the one or more analysts based, in part, on the information relating to the one or more analysts' historical estimates for the one or more historical events; and

displaying simultaneously, on an analyst by analyst basis, for selected analysts selected by a user from among the one or more analysts:

- i) an indication of historical accuracy for an analyst for one or more securities;
- ii) the analyst's estimate for a future event for the one or more securities; and
- iii) model information relating to the use of the analyst's estimate in an aggregation prediction model; and

wherein the model information comprises one or more weighting factors, and wherein displaying further comprises displaying an indication of a weight applied to the analyst's estimate.